

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
03-026

In Re Application Of: JAY S. WALKER et al.

Serial No.  
10/790,934

Filing Date  
March 2, 2004

Examiner  
Not Yet Assigned

Group Art Unit  
3713

Title:

**METHOD AND APPARATUS FOR PROVIDING REGULAR ENTRANCE INTO A BONUS GAME**

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Request for Corrected Filing Receipt, 2 pp.; (x2);  
Red-lined Copy of Corrected Filing Receipt, 2 pp.;  
Copy of Utility Patent Application Transmittal, 5 pp.;  
Copy of Declaration and Power of Attorney for Patent Application, 6 pp.; and  
Return Receipt Postcard.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.



Signature

Dated: July 23, 2004

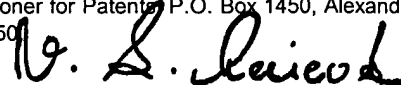
Dean P. Alderucci  
Attorney for Applicants  
PTO Registration No. 40,484  
Walker Digital, LLC  
203.461.7337/phone  
203.461.7300/fax

CUSTOMER NO. 22927

Dalderucci@walkerdigital.com

cc:

I certify that this document and fee is being deposited  
July 23, 2004 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Commissioner for Patents P.O. Box 1450, Alexandria, VA  
22313-1450.



Signature of Person Mailing Correspondence

Veronika S. Leliever

Typed or Printed Name of Person Mailing Correspondence



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CUSTOMER NO.: 22927

Applicants: Jay S. WALKER et al.

Application Serial No.: 10/790,934

Filing Date: March 2, 2004

Title: **METHOD AND APPARATUS FOR PROVIDING REGULAR  
ENTRANCE INTO A BONUS GAME**

Attorney Docket No: 03-026

Group Art Unit: 3713

Examiner: Not Yet Assigned

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Applicants respectfully request that the filing receipt for the above-identified patent application be corrected to reflect the following:

Please correct the Priority Data as claimed by applicant to read:

**“THIS APPLICATION is a CIP of 10/419,303 04/18/2003  
and is a CIP of 10/420,066 04/21/2003  
and is a CIP of 10/414,934 04/15/2003  
and is a CIP of 10/420,981 04/22/2003  
and is a CIP of 10/787,356 02/26/2004  
and claims benefit of 60/451,607 03/03/2003**

The requested correction has been indicated in red on the enclosed copy of the official Filing Receipt.

In support of this request, applicants have enclosed copies of the second page of the Utility Patent Application which was filed on March 2, 2004 and Combined Oath and

In support of this request, applicants have enclosed copies of the Utility Patent Application Transmittal which was filed on March 2, 2004 and Combined Oath and Declaration, which clearly indicate the Domestic Priority data as claimed by Applicant. Please issue a corrected Filing Receipt in due course.

Applicants believe no fee is due. Please charge any fees that may be required for this Request, or credit any overpayment to Deposit Account No. 50-0271. Order No. 03-026. The Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 03-026. A duplicate copy of this authorization is attached for such purpose.

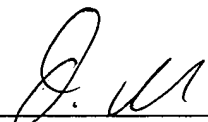
### **Petition for Extension of Time to Respond**

Applicants do not believe an extension of time is required with this response. However, if an extension of time should be due, Applicants hereby petition for such extension of time with which to respond to the Office Action. Please charge any additional fees that may be required for this Response, or credit any overpayment to Deposit Account No. 50-0271.

If an extension of time is required, or if an additional extension of time is required in addition to that requested in a petition for an extension of time, please grant a petition for that extension of time which is required to make this Response timely, and please charge any fee for such extension to Deposit Account No. 50-0271.

Respectfully submitted,

July 23, 2004  
Date

  
\_\_\_\_\_  
Dean P. Alderucci  
Attorney for Applicants  
Walker Digital, LLC  
Registration No. 40,484  
(203) 461-7337 /direct  
(203) 461-7300 /fax  
Alderucci@walkerdigital.com

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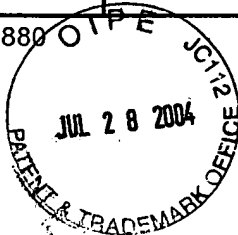


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/790,934	03/02/2004	3713	880	03-026	14	32	13

DEAN ALDERUCCI  
Walker Digital Management LLC  
5 High Ridge Park  
Stamford, CT 06905



CONFIRMATION NO. 3244

### FILING RECEIPT



\*OC000000012851773\*

Date Mailed: 06/03/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Jay S. Walker, Residence Not Provided;  
James A. Jorasch, Residence Not Provided;  
David F. Zucker, Residence Not Provided;  
Jose A. Suarez, Residence Not Provided;  
Stephen C. Tulley, Residence Not Provided;  
Geoffrey M. Gelman, Residence Not Provided;  
Victor M. Garcia, Residence Not Provided;  
Daniel E. Tedesco, Residence Not Provided;

### Domestic Priority data as claimed by applicant

This application is a CIP of 10/419,303 04/18/2003  
and is a CIP of 10/420,066 04/21/2003  
and is a CIP of 10/414,934 04/15/2003  
and is a CIP of 10/420,981 04/22/2003  
and claims benefit of 60/451,607 03/03/2003

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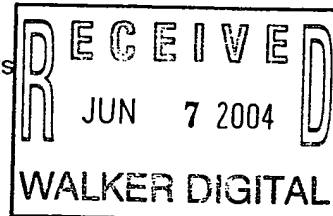
and a CIP of 10/787,356 Filed 02/26/04

### Foreign Applications

If Required, Foreign Filing License Granted: 06/02/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No



# BEST AVAILABLE COPY

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

Title

Method and apparatus for providing regular entrance into a bonus game

Preliminary Class

434

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## LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### NOT GRANTED

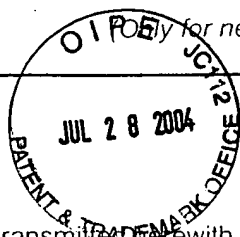
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**COPY****UTILITY PATENT APPLICATION TRANSMITTAL  
(Small Entity)**Docket No.  
03-026

Total Pages in this Submission

108

Fee for new nonprovisional applications under 37 CFR 1.53(b)

**TO THE COMMISSIONER FOR PATENTS**

Mail Stop Patent Application

P.O. Box 1450

Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**METHOD AND APPARATUS FOR PROVIDING REGULAR ENTRANCE INTO A BONUS GAME**

and invented by:

Jay S. WALKER, James A. JORASCH, David F. ZUCKER, Jose A. SUAREZ, Stephen C. TULLEY, Geoffrey M. GELMAN, Victor M. GARCIA, Daniel E. TEDESCO

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: (See next page)

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 94 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications *(if applicable)*
  - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
  - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
  - e. ☒ Background of the Invention
  - f. ☐ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings *(if filed)*
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 10/419,303

AND a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 10/420,066

AND a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 10/414,934

AND a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 10/420,981

AND a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. Not Yet Assigned  
(Attorney docket No. 03-005)

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
03-026

Total Pages in this Submission

**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal      Number of Sheets      14
- b. ☐ Informal      Number of Sheets      \_\_\_\_\_
4. ☐ Oath or Declaration
- a. ☐ Newly executed *(original or copy)*      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☐ With Power of Attorney      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. ☐ Application Data Sheet (See 37 CFR 1.76)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Computer Readable Form (CFR)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Certificate of Mailing
- ☐ First Class      ☒ Express Mail *(Specify Label No.):* EV434179437US

**UTILITY PATENT APPLICATION TRANSMITTAL  
(Small Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.

03-026

Total Pages in this Submission

108

**Accompanying Application Parts (Continued)**

17. ☒ Applicant claims small entity status. See 37 CFR 1.27.

☐ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted: \_\_\_\_\_

18. ☐ Additional Enclosures *(please identify below)*:

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

19. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.

03-026

Total Pages in this Submission

108

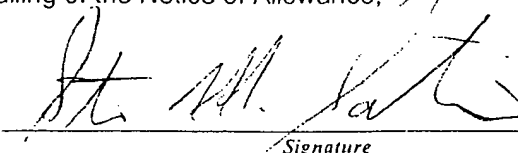
**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	32	- 20 =	12	x \$9.00	\$108.00
Indep. Claims	12	- 3 =	9	x \$43.00	\$387.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$385.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$880.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below.
- ☒ Charge the amount of \$880.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: March 2, 2004

  
Signature

Steven M. Santisi  
Attorney for Applicants  
PTO Registration No. 40,157  
(203) 461-7054/phone  
(203) 4661-7300/fax

cc:

CUSTOMER NO. 22927  
ssantisi@walkerdigital.com